SEC Form 4

FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

l	OMB Number: 3235-0287										
l	Estimated average burden										
l	hours per response:	0.5									

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Liu Vincent						2. Issuer Name and Ticker or Trading Symbol RBB Bancorp [RBB]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) 1055 WILSHIRE BLVD						3. Date of Earliest Transaction (Month/Day/Year) 02/21/2024									X Officer (give title Other below)				specify
SUITE 1200						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) LOS ANGELES CA 90017															X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(S	tate)	(Zip)		Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.														
-		Tab	le I - Noi	n-Deriv	vative	e Se	curiti	es A	cquire	d, D	ispose	d of,	, or Be	neficia	lly Owne	ed			
1. Title of Security (Instr. 3) 2. Transa Date (Month/D.						Day/Year) Execution Dat		ecution Date,		, Transaction Disp Code (Instr. 5)		curities Acquired (A osed Of (D) (Instr. 3,		ed (A) or str. 3, 4 ar	d Securi Benefi Owned	5. Amount of Securities Beneficially Owned Following Reported		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
								Cod	ie \	/ Amo	Amount		r Price	Transa (Instr. :	ction(s) 3 and 4)				
Common	Common Stock, No Par Value 12,007 D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	onversion Date Execution Date, if any rice of privative				action (Instr.	of Deriv Secu Acqu (A) o Dispo of (D	r osed) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)			of U D	7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	t (Instr. 4)
	Code		Code	v	(A)	(D)	Date Exercis	able	Expiratio		ïtle	Amount or Number of Shares							

Date Exercisable

(1)

Expiration Date

(2)

Restricted

Stock Units

767

Restricted Restricted \$0.0000 (3) (2) 2,407 2,407 Stock Units Stock Units Restricted Restricted \$0.0000 (4) (2) Stock 2,795 2,795 Stock Units Units **Explanation of Responses:**

(A) (D)

v

1. These restricted stock units vest in three equal annual installments beginning one year after the 01/19/2022 date of grant.

2. There will be no expiration date once restricted stock units vest.

3. These restricted stock units vest in three equal annual installments beginning one year after the 01/18/2023 date of grant.

4. These restricted stock units vest in three equal annual installments beginning one year after the 02/21/2024 date of grant.

Remarks:

Restricted

Stock Units

\$0.0000

Vincent Liu was granted 2,795 restricted stock units that will vest in three equal annual installments on 02/21/2025, 02/21/2026, and 02/21/2027.

/s/ Vincent Liu

02/22/2024

Date

767

D

D

D

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.