FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Estimated average burden
hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  |                |                |            |          | or Se                           | ction  | 30(h)  | of the     | Investment          | Cor               | npany Ac         | t of 1940   |   |                             |   |   |  |  |  |
|--|----------------|----------------|------------|----------|---------------------------------|--|--|------------|---------------------|-------------------|------------------|---|---|-----------------------------|---|---|--|--|--|
| Name and Address of Reporting Person*  Liu Vincent                       |                |                |            |          |                                 | 2. Issuer Name and Ticker or Trading Symbol RBB Bancorp [ RBB ]  |  |            |                     |                   |                  |   |   |                             |   | plicable)                                     | g Person(s) to Issuer  |  |  |
| (Last) (First) (Middle) 660 S. FIGUEROA STREET SUITE 1888                |                |                |            |          | 05/2                            | Date of Earliest Transaction (Month/Day/Year)     05/23/2018      4. If Amendment, Date of Original Filed (Month/Day/Year) |  |            |                     |                   |                  |   |   |                             | X Officer (give title Other (specify below)  EVP  5. Individual or Joint/Group Filing (Check Applicable |   |  |  |  |
| (Street) LOS ANGELES CA 90017  |                |                |            |          | -                               |  |  |            |                     |                   |                  |   |   |                             | Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person                |   |  |  |  |
| (City)   | (S             | tate)          | (Zip)      |          |                                 |  |  |            |                     |                   |                  |   |   |                             |   |   |  |  |  |
|  |                | Tab            | le I -     | Non-Deri | vative                          | Sec  | uriti  | es A       | cquired, I          | Dis               | posed o          | of, or B  | enefi   | cially                      | Own   | ed  |  |  |  |
| 1. Title of Security (Instr. 3)  2. Transact Date (Month/Day             |                |                |            |          |                                 | 2A. Deemed<br>Execution Date,<br>r) if any<br>(Month/Day/Year)   |  |            | Code (Ins           | Transaction Dis   |                  | 4. Securities Acquired (A<br>Disposed Of (D) (Instr. 3,<br>5) |   |                             | Secui<br>Bene<br>Owne   | ficially<br>ed                                | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)         | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |  |
|  |                |                |            |          |                                 |  | Code   | v          | Amount              | Amount (A) or (D) |                  | ce  | Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                             | (Instr. 4)  | (Instr. 4)                                    |  |  |  |
| Stock Op   | otions         |                |            | 05/02/2  | 2018                            | 05/02/2018   |  |            | S                   |                   | 7,000            | ) D   | \$2   | 27.41                       | 1   | 06,371  | D  |  |  |
| Stock Op   | otions         |                |            | 05/03/2  | 2018                            | 05/03/2018   |  |            | S                   |                   | 5,288            | 3 D   | \$2   |                             |   | 01,083  | D  |  |  |
| Stock Options (  |                |                |            |          | 05/04/2018                      |  |  | 05/04/2018 |                     |                   | 2,000            | 00 D \$   |   | 27.7                        | 99,083  |   | D  |  |  |
| Stock Op   | 05/09/2018     |                | 05/09/2018 |          |                                 | M<br>S   |  | 6,199      | ) D                 | +                 | .2915            | 1   | 92,884  | D                           |   |   |  |  |  |
| Stock Options  |                |                |            |          | 05/10/2018                      |  |  | 05/10/2018 |                     |                   | 2,000            | _   | _   | \$28                        | +   | 00,884  | D  |  |  |
| Stock Options  |                |                |            | 05/10/2  | 05/10/2018                      |  |  | S          |                     | 2,000             |                  | _   | 27.9  | +                           | 88,884  | D   |  |  |  |
| *  |                |                |            |          | 2018                            | 05/10/2018   |  |            | S                   |                   | 2,000            | _   | _   | 27.8                        | -   | 36,884  | D  |  |  |
| Stock Options 05/10  |                |                |            |          |                                 |  |  |            | S                   |                   | 2,000            |   |   | 27.7                        | -   | 34,884  | D  |  |  |
| Stock Op   |                |                |            | 05/11/2  |                                 |  |  |            | S                   |                   | 3,906<br>2,000   | _   | _   | 28.15                       | 1   | 30,978  | D  |  |  |
| Stock Options         05/22/20           Starts Options         05/22/20 |                |                |            |          |                                 |  |  |            | S                   | _                 | 3,000 D          |   | _   | 29.22                       | +   | 78,978<br>75,978                              | D<br>D   | -  |  |
| Stock Options 05/22/20 Common Stock, No Par Value                        |                |                |            |          | .010                            | 03/22/2016   |  |            |                     | _                 | 3,000 B          |   | ¥   | 29.22                       | 85,757  |   | D  |  |  |
|  | 1 510 CR, 1 10 |                | able I     |          |                                 |  |  |            | uired, Dis          |                   |                  |   |   |                             |   |   |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                      |                | e of<br>vative |            |          | 4.<br>Transac<br>Code (II<br>8) | tion   | str. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, |            |                     |                   | ble and          |   |   | 8.<br>of<br>De<br>Se<br>(In | Price<br>rivative<br>curity<br>str. 5)  | derivative<br>ve Securities<br>/ Beneficially | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |                |                |            |          | Code                            | v  | 4 an   | (D)        | Date<br>Exercisable |                   | xpiration<br>ate | Title   | Amou<br>or<br>Numb<br>of<br>Share                           | er                          |   |   |  |  |  |
| Option<br>(right to<br>buy)  | \$9.2915       |                |            |          |                                 |  |  |            | (1)                 | 11.               | /04/2018         | Common<br>Stock   | 0   |                             |   | 0   | D  |  |  |
| Option<br>(right to  | \$9.2915       |                |            |          |                                 |  |  |            | (2)                 | 11.               | /18/2018         | Common<br>Stock   | 0   |                             |   | 0   | D  |  |  |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |           |  |   |                                   |   |        |     |   |                    |   |  |  |  |   |                         |
|--|-----------|--|---|-----------------------------------|---|--------|-----|---|--------------------|---|--|--|--|---|-------------------------|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  |           | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr.<br>8) |   | Number |     | 6. Date Exer<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |  | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr.<br>4) | Beneficial<br>Ownership |
|  |           |  |   | Code                              | v | (A)    | (D) | Date<br>Exercisable                         | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |  |   |                         |
| Option<br>(right to<br>buy)  | \$11.1498 |  |   |                                   |   |        |     | (3)   | 01/01/2023         | Common<br>Stock   | 0                                      |  | 107,172  | D   |                         |

## **Explanation of Responses:**

- 1. These options vest in three equal annual installments beginning one year after the 11/04/2008 date of grant.
- $2. \ These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 11/18/2008 \ date \ of \ grant.$
- $3. \ These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 01/01/2013 \ date \ of \ grant.$

## Remarks:

Vincent Liu

05/23/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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