FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
wasiiiigton,	D.C.	20049

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPR	JAVC
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name ar Kao Ja		Reporting Person*							icker or Tradi RBB]	ng Sy	mbol			(Che	elationship o	cable)	g Pers		
(Last)	(Fi	•	(Middle)		01/	/18/20	023		nsaction (Mo						below)	(give title		10% Ov Other (s below)	specify
(Street) LOS AN (City)	IGELES C.	tate)	90017 (Zip)						e of Original F					Line	Form f Form f Persor	iled by One iled by Mor	e Repo	g (Check Ap orting Perso n One Repo	n
1. Title of	Table I - Non-Deriv Title of Security (Instr. 3) 2. Trans. Date (Month/I						2A. Deemed Execution Date,		3. 4 Transaction Code (Instr. 5		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			(A) or	5. Amou Securitie Benefici Owned I	nt of es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
						·		_	Code	v	Amount	t (A) or)	Price	Reporte Transac (Instr. 3	tion(s)			(Instr. 4)
Common	nmon Stock, No Par Value 01/1				3/202	3			M		800)	A	\$ <mark>0</mark>	445,276			D	
Common	Stock, No	Par Value		01/19	9/202	3			М		500)	A	\$ <mark>0</mark>	445	5,776		D	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction 3A. Deel Execution (Month/Day/Year) if any	3A. Deemed	e.g., pı			5. Number of		s, option	ercisable and		f, or Benefic ible securiti 7. Title and Am of Securities Underlying Derivative Sect (Instr. 3 and 4)		ties)	Owned 8. Price of	9. Number derivative Securities Beneficial Owned Following Reported Transactic	r of	10.	Beneficial Ownership t (Instr. 4)
	Derivative Security	(montal Bay real)		0	Code (I	ction Instr.	of Deriv Secu Acqu (A) o Disp of (D	rities uired r osed)	6. Date Exer Expiration D (Month/Day/	ate	ie and	of Secui Underly Derivati	ing /e Sec	urity	Derivative Security (Instr. 5)	derivative Securities Beneficial Owned Following Reported Transaction	e S Illy	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership
		(monas 20 y real)	if any	/Year) 8	Code (I	ction Instr.	of Deriv Secu Acqu (A) o Disp of (D	rities uired or osed) r. 3, 4	Expiration D	Pate (Year)	piration	of Secui Underly Derivati	Arr or Nu	mount	Derivative Security	derivative Securities Beneficial Owned Following Reported	e S Illy	Ownership Form: Direct (D) or Indirect	of Indirect Beneficial Ownership
Option (right to buy)		(monas pay real)	if any	/Year) 8	Code (I	Instr.	of Deriv Secu Acqu (A) o Disp of (D (Instrand !	urities uired or osed) r. 3, 4	Expiration E (Month/Day/	Exp	piration	of Secul Underly Derivati (Instr. 3	An or Nt Sh	mount umber	Derivative Security	derivative Securities Beneficial Owned Following Reported Transaction	on(s)	Ownership Form: Direct (D) or Indirect	of Indirect Beneficial Ownership
(right to	Security	(Monary Feat,	if any	/Year) 8	Code (I	Instr.	of Deriv Secu Acqu (A) o Disp of (D (Instrand !	urities uired or osed) r. 3, 4	Expiration E (Month/Day/	Exp Date (Year)	piration e	of Secul Underly Derivati (Instr. 3	Arn or Nu of Sh	mount umber nares	Derivative Security	derivative Securities Beneficial Owned Following Reported Transactic (Instr. 4)	on(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership
(right to buy) Option (right to	\$11.1498		if any	/Year) 8	Code (I	Instr.	of Deriv Secu Acqu (A) o Disp of (D (Instrand !	urities uired or osed) r. 3, 4	Date Exercisable	Exp Date 05/	Diration te	of Secur Underly Derivatif (Instr. 3	Arror Nu 10 10 11 11 11 11 11 11 11 11 11 11 11	mount umber nares	Derivative Security	derivative Securities Beneficial Owned Following Reported Transactie (Instr. 4)	on(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership

Explanation of Responses:

\$0

- 1. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.
- 2. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.
- 3. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.
- 4. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.
- 5. These restricted stock units vest in two installments: 500 at 07/21/2022 and 500 at 01/19/2023.
- 6. There will be no expiration date once restricted stock units vest

01/19/2022

01/18/2023

7. These restricted stock units vest in two installments: 800 on 01/18/2023 and 600 at 01/18/2024.

Restricted

Restricted

Stock Units

Stock Units

Dr. James Kao was granted 1,400 restricted stock units that will vest in two installments: 800 on 01/18/2023 and 600 on 01/18/2024. The second and final vesting of the RSUs that were granted on 1/19/2022 are also reflected.

(5)

(7)

500

800

/s/ James Kao

Restricted

Stock Units

Restricted

Stock Units

500

1,400

\$<mark>0</mark>

\$<mark>0</mark>

(6)

(6)

01/20/2023

0

600

D

D

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.