FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours per response. | 0.5 | | | | | | | | |

| | Check this box if no longer subject to |
|--------|--|
| \neg | Section 16. Form 4 or Form 5 |
| J | obligations may continue. See |
| | Instruction 1(b). |

| Instruction | on 1(b). | e. See | | File | | | | | | | | | ange Act | | 4 | | hours | per res | ponse: | 0.5 | | |
|---|---|--|--|--------|--------------------------------|--|---|--|--|-----------------------|-----|---------------------|---|--------------------|--|---|---|---------------------------------|--|--|--|--|
| 1. Name and Address of Reporting Person* Yeh Jeffrey | | | | | | or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol RBB Bancorp [RBB] | | | | | | | | | | lationship o ck all applica Director | able) | g Perso | 10% Ov | vner | | |
| (Last) (First) (Middle) 1055 WILSHIRE BLVD | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/20/2024 | | | | | | | | | | X Officer (give title below) Other (specify below) | | | | | | |
| SUITE 1200 | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| (Street) LOS ANGELES CA 90017 | | | | | | X Form filed by One Re Form filed by More th Person | | | | | | | | | | | | | • | - 1 | | |
| (City) (State) (Zip) | | | | | | | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | | | |
| | | Tab | le I - Nor | -Deriv | /ative | Se | curiti | es A | cqui | red, C | isp | osed | of, or | Bene | ficially | Owned | | | | | | |
| 1. Title of Security (Instr. 3) 2. Trans Date (Month/I | | | | | ar) | 2A. Dee Executi if any (Month | on Da | Code (I | | tion Dispos | | rities Aceed Of (D) | quired (Instr. | (A) or 3, 4 and | Securities Beneficia | Securities Seneficially Owned Following | | : Direct Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | | | | | Code | v | / Amount (A) or (D) | | Price | Transaction(s) (Instr. 3 and 4) | | | | (111341. 4) | | | |
| Common Stock, No Par Value | | | | | | | | | | | | | | | | 54,513 | | | D | | | |
| | | ٦ | Γable II - I | | | | | | • | , | • | | f, or B | | • | Owned | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deeme Execution if any (Month/Day | Date, | 4. Transac Code (I 8) | | | rities lired r osed) r. 3, 4 | 6. Date Exerc Expiration Da (Month/Day/Y | | ate | | 7. Title and Am Securities Unde Derivative Secu (Instr. 3 and 4) | | lerlying urity | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4) | e s ally g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | Code | v | (A) | (D) | Date Exer | te Ex ercisable Da | | oiration te | Title | | Amount or Number of Shares | | | | | | | |
| Restricted Stock Units | \$0.0000 | | | | | | | | | (2) | | (1) | Restric Stock U | | 675 | | 675 | | D | | | |
| Restricted Stock Units | \$0.0000 | | | | | | | | | (3) | | (1) | Restric Stock U | | 1,684 | | 1,68 | 4 | D | | | |
| Restricted Stock Units | \$0.0000 | | | | | | | | | (4) | | (1) | Restric Stock U | | 2,765 | | 2,76 | 5 | D | | | |
| Restricted Stock Units | \$0.0000 | | | | | | | | | (5) | | (1) | Restric Stock U | | 3,978 | | 3,97 | 8 | D | | | |

Explanation of Responses:

\$0.0000

- 1. There will be no expiration date once restricted stock units vest.
- 2. These restricted stock units vest in three equal annual installments beginning one year after the 01/19/2022 date of grant.
- $3.\ These\ restricted\ stock\ units\ vest\ in\ three\ equal\ annual\ installments\ beginning\ one\ year\ after\ the\ 01/18/2023\ date\ of\ grant.$
- $4. \ These \ restricted \ stock \ units \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 02/21/2024 \ date \ of \ grant.$
- $5. \ These \ restricted \ stock \ units \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 03/20/2024 \ date \ of \ grant.$

6. These performance stock units ("PSUs") will vest conditionally on the achievement of certain performance goals and an employment condition. The reported PSUs will vest at the expiration of a three-year period beginning on 03/20/2024 subject to the Reporting Person's satisfaction of the employment condition.

Remarks:

Performance Stock Units

Jeffrey Yeh was granted 3,978 restricted stock units (RSUs) and a maximum amount of 5,967 performance stock units (PSUs) on March 20, 2024.

<u>/s/ Jeffrey Yeh</u> <u>03/22/2024</u>

5,967

5,967

Performance Stock Units

(1)

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.