SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year)   07/25/2017		3. Issuer Name <b>and</b> Ticker or Trading Symbol      RBB Bancorp   [ RBB ]					
		(Check all applicable) Director	10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
		A below)	other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line)		
		EVP			X Form filed by	y One Reporting Person	
					Form filed by Reporting P	y More than One erson	
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)					4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, No Par Value			D				
Table II - Derivative Securities Beneficially Owned       (e.g., puts, calls, warrants, options, convertible securities)							
2. Date Exercisable and Expiration Date (Month/Day/Year)				or Exerc	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivati	ve or Indirect		
(1)	11/04/2018	Common Stock	8,610	9.291	.5 D		
(2)	01/01/2022	Common Stock	1,076	9.291	5 D		
(3)	01/01/2023	Common Stock	16,144	11.149	98 D		
	Table I - Nor Table I - Nor 2. Date Exercisable Exercisable (1) (1) (2)	Requiring Statement (Month/Day/Year)     07/25/2017     Table I - Non-Derivative g., puts, calls, warrau     2. Date Exercisable and Expiration Date (Month/Day/Year)     Date Exercisable   Expiration Date     (1)   11/04/2018     (2)   01/01/2022	Requiring Statement (Month/Day/Year)   RBB Bancorp [ RBB ]     07/25/2017   4. Relationship of Reporting Perso (Check all applicable) Director     X   Officer (give title below)     EVP	Requiring Statement (Month/Day/Year)   RBB Bancorp [ RBB ]     07/25/2017   4. Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director   10% Owner X     Officer (give title below)   0ther (spec- below)     EVP   2. Amount of Securities Beneficially Owned (Instr. 4)     Z Amount of Securities Beneficially Owned (Instr. 5)   3. Ownersh Form: Direc or Indirect ( (Instr. 5)     Z6,525   D     Table II - Derivative Securities Beneficially Owned g., puts, calls, warrants, options, convertible securities (Month/Day/Year)   3. Title and Amount of Securities Underlying Derivative Securities Shares     (1)   11/04/2018   Common Stock   8,610     (2)   01/01/2022   Common Stock   1,076	Requiring Statement (Month/Day/Year)   RBB Bancorp [ RBB ]     07/25/2017   4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director   10% Owner     V   Officer (give title below)   Other (specify below)   0     Table I - Non-Derivative Securities Beneficially Owned Beneficially Owned (Instr. 4)   3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)   4 (I)     Z6,525   D   I     Table II - Derivative Securities Beneficially Owned g., puts, calls, warrants, options, convertible securities (Month/Day/Year)   3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) (Month/Day/Year)   4. Convers or Execurities Or Execurities     2. Date Exercisable and Expiration Date (Month/Day/Year)   3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) (Nomeric Shares)   4. Convers of Execurity Shares     (1)   11/04/2018   Common Stock   8,610   9.291     (2)   01/01/2022   Common Stock   1,076   9.291	Requiring Statement (Month/Day/Year)   RBB Bancorp [ RBB ]     4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director   10% Owner     X   Officer (give title below)   0ther (specify below)   6. Individual or Joint Applicable Line)     X   Form filed b Reporting P   Form filed b     Table I - Non-Derivative Securities Beneficially Owned   3. Ownership Form. Direct (I) (Instr. 5)   4. Nature of Indirect (Instr. 5)     Ze6,525   D   5.     Z. Amount of Securities Beneficially Owned (Instr. 4)   3. Ownership Form. Direct (D) or Indirect (I) (Instr. 5)   5.     Z. Date Exercisable and Expiration Date (Month/Day/Year)   3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) or Exercisac Price of Derivative Security   4. Conversing Price of Derivative Security   5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)     0.10   11/04/2018   Common Stock   8,610   9.2915   D     (1)   11/04/2018   Common Stock   1,076   9.2915   D	

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 11/04/2008 date of grant.

2. These options vest in three equal annual installments beginning one year after the 01/01/2012 date of grant.

3. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.

## **Remarks:**

Jeffrey Yeh

07/25/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.