# **UNITED STATES**

# SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

# FORM 12b-25

## NOTIFICATION OF LATE FILING

(Check one): □Form 10-K □Form 20-F	□Form 11-K ⊠ Form 10-Q	☐ Form N-SAR	□Form N-CSR
	For Period Ended: September 3	30, 2022	
	□Transition Report on Form 1 □Transition Report on Form 2 □Transition Report on Form 1 □Transition Report on Form 1 □Transition Report on Form N	0-F 1-K 0-Q	
For the Transition F	Period Ended:		
	ng in this form shall be construed any information contained h		ne Commission has
	notification relates to a portion ) to which the notification rela	•	ked above, identify the

## PART I -- REGISTRANT INFORMATION

# **RBB BANCORP**

(Full Name of Registrant)

001-38149 (Commission File Number) 27-2776416 (IRS Employer Identification No.)

**Not Applicable** (Former Name if Applicable)

1055 Wilshire Blvd., 12<sup>th</sup> floor (Address of Principal Executive Office (Street and Number))

> Los Angeles, CA 90017 City, State and Zip Code

### PART II -- RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)

- (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;
- The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K, Form N-SAR or Form N-CSR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date; and
  - (c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

### **PART III -- NARRATIVE**

State below in reasonable detail why Forms 10-K, 20-F, 11-K, 10-Q, N-SAR, N-CSR, or the transition report or portion thereof, could not be filed within the prescribed time period.

The Company is unable to file, without unreasonable effort and expense, its Form 10-Q for the quarterly period ended September 30, 2022 (the "Report") as the Company recently appointed Crowe LLP ("Crowe") as our new independent registered public accounting firm ("CPA") on September 26, 2022. Crowe needs more time to complete the Sarbanes-Oxley Act ("SOX") control reviews with the Company, to complete its review of the interim financial statements and the Report and other matters. Notwithstanding the foregoing, the Company expect to file no later than November 30, 2022.

The Company has provided a copy of the foregoing disclosures to Crowe.

### Forward-Looking Statements

This filing contains a number of forward-looking statements. Words such as "expect," "will," "working," and variations of such words and similar future or conditional expressions are intended to identify forward-looking statements. These forward-looking statements include, but are not limited to, statements regarding our beliefs and expectations relating to the filing of the Form 10-Q. These forward-looking statements are not guarantees of future results and are subject to a number of risks and uncertainties, many of which are difficult to predict and beyond our control. Important factors that may cause actual results to differ materially from those in the forward-looking statements include, but are not limited to, a material delay in the Company's financial reporting, including the possibility that the Company will not be able to file its Form 10-Q within the five-day extension permitted by the rules of the U.S. Securities and Exchange Commission, and the possibility that the ongoing review may identify errors or control deficiencies in the Company's accounting practices. We disclaim and do not undertake any obligation to update or revise any forward-looking statement in this report, except as required by applicable law or regulation.

# PART IV -- OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification

David Morris	<u>(</u> 714)	670-2488
(Name)	(Area Code)	(Telephone Number)
(2) Have all other periodic reports required under Section 13 or 15(d of 1940 during the preceding 12 months or for such shorter period th report(s). Yes $\boxtimes$ No $\square$		
(3) Is it anticipated that any significant change in results of operation statements to be included in the subject report or portion thereof? Yes □ No ⊠	ns from the corresponding period for th	e last fiscal year will be reflected by the earnings
If so, attach an explanation of the anticipated change, both narrativel the results cannot be made.	y and quantitatively, and, if appropriate	e, state the reasons why a reasonable estimate of
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## RBB BANCORP

(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

RBB BANCORP (Registrant)

Dated: November 9, 2022 By: /s/ David Morris

David Morris President, Chief Executive Officer, and Chief Financial Officer