SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add <u>Kao James</u>	Date of Event Requiring Stater Month/Day/Yea 17/25/2017	nent	3. Issuer Name and Ticker or Trading Symbol RBB Bancorp [RBB]								
(Last) (First) (Middle) 660 S. FIGUEROA STREET					4. Relationship of Reporting Pers (Check all applicable) X Director		son(s) to Issuer 10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 1888						Officer (give title below)	Other (spe below)	cify		lividual or Joint cable Line)	/Group Filing (Check
(Street) LOS ANGELES	CA	90017							X		y One Reporting Person y More than One erson
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, No Par Value						591,939	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securitie Underlying Derivative Security			4. Conversor or Exert Price of	cise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratior Date	n Title		Amount or Number of Shares	Derivati Security	ive	or Indirect (I) (Instr. 5)	
Option (right to	o buy)		(1)	05/20/2025	5	Common Stock	10,000	17.0	8	D	
Option (right to	o buy)		(2)	05/17/2026	5	Common Stock	10,000	18.2	25	D	

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.

2. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

Remarks:

J	ames	Kao	

07/25/2017

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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