SEC Form 4	
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Instruction 1(b)

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) <u>RBB Bancorp</u> [ RBB ] Morris David Richard X Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) Х below) below) (Last) (First) (Middle) 01/18/2024 CEO **1055 WILSHIRE BLVD SUITE 1200** 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) Х Form filed by One Reporting Person (Street) Form filed by More than One Reporting Person LOS ANGELES CA 90017 Rule 10b5-1(c) Transaction Indication (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock, No Par Value	01/18/2024		М		2,603	A	\$ <mark>0</mark>	38,671	D	
Common Stock, No Par Value	01/18/2024		F		1,354	D	\$17.63	37,317	D	
Common Stock, No Par Value	01/19/2024		М		767	A	\$ <mark>0</mark>	38,084	D	
Common Stock, No Par Value	01/19/2024		F		361	D	\$17.5	37,723	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Conversion Date		3. Transaction Date (Month/Day/Year)	Execution Date,		4. Transaction Code (Instr. 8)		umber vative urities uired or oosed O) tr. 3, 4 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (right to buy)	\$20.55							(1)	01/22/2030	Common Stock	9,000		9,000	D	
Restricted Stock Units	\$0	01/19/2024		М			767	(2)	(3)	Restricted Stock Units	1,534	\$0	767	D	
Restricted Stock Units	\$0	01/18/2024		М			2,603	(4)	(3)	Restricted Stock Units	7,807	\$0	5,204	D	

#### Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 01/22/2020 date of grant.

2. These restricted stock units vest in three equal annual installments beginning one year after the 01/19/2022 date of grant.

3. There will be no expiration date once restricted stock units vest.

4. These restricted stock units vest in three equal installments beginning one year after 01/18/2023 date of grant.

### Remarks:

The first vesting of the RSUs that were granted on 1/18/2023, and the second vesting of the RSUs that were granted on 1/19/2022 are both reflected. The total amount of RSUs vested is 3,370 shares. 1,715 of those shares were used to cover tax withholding obligations, leaving a total of 1,655 shares acquired.

> /s/ David Morris \*\* Signature of Reporting Person

01/22/2024 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.