FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# OMB APPROVAL OMB Number: 32350104 Estimated average burden

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### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and A  | Requiring S<br>(Month/Day       | 2. Date of Event Requiring Statement (Month/Day/Year) 04/20/2023  3. Issuer Name and Ticker or Trading Symbol RBB Bancorp [ RBB ] |  |                    |   |   |  |                                    |  |  |  |
|--|---------------------------------|---|--|--------------------|---|---|--|------------------------------------|--|--|--|
| (Last) 1055 WILS SUITE 1200 (Street) LOS ANGELES (City)  | (First) HIRE BLVD 0  CA (State) | (Middle) 90017 (Zip)  |  |                    | 4. Relationship of Reporting Issuer (Check all applicable)  X Director Officer (give title below) |   | Person(s) to  10% Owner  Other (specify below) |                                    | 6. lı<br>(Ch   | 5. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |  |
| Table I - Non-Derivative Securities Beneficially Owned   |                                 |   |  |                    |   |   |  |                                    |  |  |  |
| 1. Title of Security (Instr. 4)  |                                 |   |  |                    | 2. Amount of Securities<br>Beneficially Owned (Instr.<br>4)                                       |   |  |                                    | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5) |  |  |
| Common Stock, No Par Value   |                                 |   |  |                    |   | 0 | D  |                                    |  |  |  |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |                                 |   |  |                    |   |   |  |                                    |  |  |  |
|  |                                 |   | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 3. Title and Amount of Se<br>Underlying Derivative Se<br>(Instr. 4)                               |   |  | curity Convers                     |  | ise Form:  | 6. Nature of Indirect Beneficial Ownership (Instr. |
| I 1-   |                                 |   | Date<br>Exercisable  | Expiration<br>Date | Title   | , | Amount<br>or<br>Number<br>of<br>Shares         | Price of<br>Derivative<br>Security |  | Direct (D)<br>or Indirect<br>(I) (Instr. 5)  | 5)   |

#### Explanation of Responses:

#### Remarks:

No Securities are beneficially owned.

/s/ Scott Polakoff

04/28/2023

Person

\*\* Signature of Reporting Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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