# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Koo Chie-Min Christopher						2. Issuer Name <b>and</b> Ticker or Trading Symbol RBB Bancorp [ RBB ]									(Che	Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner				
	LSHIRE B	•	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 08/06/2020										Officer (give title Other (specify below) below)				
(Street) LOS AN (City)	IGELES C		90017 (Zip)		4. If	Line) X Form file										iled by One	int/Group Filing (Check Applicable of by One Reporting Person of by More than One Reporting			
		Tab	le I - No	n-Deriv	ative	Se	curiti	es A	cqu	ired,	Dis	posed (	of, or	Ben	eficial	y Owne	d			
1. Title of Security (Instr. 3)			2. Transa Date (Month/E		2A. Deemed Execution Date,			e,	3. 4. Securi Transaction Disposed Code (Instr. 5)			rities Acquired (A) or d Of (D) (Instr. 3, 4 and			5. Amount of Securities Beneficially Owned Following		Form (D) o	n: Direct r Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership	
										Code	v	Amount	( <i>A</i>	) or )	Price	Reporte Transac (Instr. 3	ction(s)			(Instr. 4)
Common	Common Stock, No Par Value			08/06	/2020	2020 08/06/20		5/202	0	S		300		D	\$12.8	2 19	19,502		D	
Stock Op	Stock Options													62	62,539		D			
		Т										osed of onverti				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	ed Date,	4. Transa	5. Number 6. saction of Ex			6. Date Exercisable and Expiration Date (Month/Day/Year)  (Month/Day/Year)  7. Title and An of Securities Underlying Derivative Sec (Instr. 3 and 4)					Amount	8. Price of Derivative Security (Instr. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Dat Exe	te ercisabl		xpiration ate	Title	O N O	lumber					
Option (right to buy)	\$9.2915									(1)	0	5/18/2021	Comm Stock		0,763		10,763	3	D	
Option (right to buy)	\$9.2915									(2)	0	1/02/2019	Comm		0		0		D	
Option (right to buy)	\$11.1498									(3)	0	5/16/2022	Comm		0,763		10,763	3	D	

(4)

(5)

(6)

(7)

# **Explanation of Responses:**

\$11 1498

\$13,2098

\$17.08

\$18.25

- 1. These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.
- 2. These options vest in three equal annual installments beginning one year after the 01/02/2009 date of grant.
- 3. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.
- 4. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.
- 5. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.  $6. \ These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 05/20/2015 \ date \ of \ grant.$
- $7.\ These\ options\ vest\ in\ three\ equal\ annual\ installments\ beginning\ one\ year\ after\ the\ 05/17/2016\ date\ of\ grant.$

Option

(right to buy)

Option

(right to buy)

Option

(right to buy)

Option

(right to

Christopher Koo purchased a total of 300 shares on 8/6/2020.

Christopher Koo

Common

Stock

Commo

Stock

Stock

Common

Stock

05/15/2023

05/21/2024

05/20/2025

05/17/2026

10,763

10.250

10,000

10,000

08/10/2020

10,763

10.250

10,000

10,000

D

D

D

D

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form d	isplays a currently valid OMB Number.