## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

D

D

D

D

10,250

10,000

10,000

43,425

U obligat	tions may contin ction 1(b).			Fi							ies Exchang mpany Act o		934				per res	ponse:	0.5
1. Name and Address of Reporting Person <sup>*</sup> <u>Thian Yee Phong</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>RBB Bancorp</u> [ RBB ]								(Check	all applica Director	able)	g Perso	on(s) to Issu 10% Ov Other (s	wner
(Last) (First) (Middle) 660 S. FIGUEROA STREET SUITE 1888					3. Date of Earliest Transaction (Month/Day/Year) 08/31/2018								X Officer (give title Other (specify below) below) President/CEO					,peeriy	
(Street) LOS ANGELES CA 90017					_	4. If Amendment, Date of Original Filed (Month/Day/Year) 09/04/2018								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					n
(City)	(S	itate)	(Zip)																
		Та	ble I - No	n-Deri	ivati	ve S	ecur	ities Ac	quired,	Dis	posed of	f, or Bei	nefici	ially (	Owned				
				2. Transaction Date (Month/Day/Year			ar) 2A. Deemed Execution Date, if any (Month/Day/Year		Code (Instr.						Securities Beneficia Owned Fo	eneficially wned Following		: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership
									Code	v	Amount	(A) or (D)			Reported Transacti (Instr. 3 a	on(s)			(Instr. 4)
Common	Stock, No	Par Value		08/31/2018		18	08/31/2018		М		150,000	) A	\$9.	.2915	481,577		D		
	Stock, No	Par Value		08/31/2018			08/31/2018		М		150,000	_	_			331,577		D	
Stock Op				08/3	/31/2018		08/31/2018		М		150,000	) D	\$9.	.2915			D		
Restricted Stock Shares														43,425		D		I	
			Table II	Doriu	o tive					ion	oood of .	or Bond	ficio		wood			<u>'</u>	
			Table II -								osed of, convertib				wned		I		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	(e.g.,		s, ca	5. No Deri Seco Acq or D of (E			S, C ercisa Date	able and tr		Amou Amou Amou S	nt ative	Wned B. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Derivative Security	Conversion or Exercise Price of Derivative	Date	3A. Deemed Execution D if any	(e.g., Date, (Year)	puts 4. Transa Code (	s, ca	5. No Deri Seco Acq or D of (E	varrants umber of vative urities uired (A) isposed D) (Instr.	6. Date Exe Expiration	S, C ercisa Date //Yea	able and r)	7. Title and of Securitie Underlying Security (In	Amou Amou Amou S	nt ative and and and ative and and and ative and and ative and ative and ative and ative and ative ati	8. Price of Derivative Security	derivative Securitie Beneficia Owned Following	e s ally g	Ownership Form: Direct (D) or Indirect	of Indirect Beneficial Ownership (Instr. 4)
Derivative Security	Conversion or Exercise Price of Derivative	Date	3A. Deemed Execution D if any	(e.g., bate, 4 (Year) 8	puts 4. Transa Code ( 8)	s, ca action (Instr.	5. Ni Deri Secu Acq or D of (E 3, 4	varrants umber of vative urities uired (A) isposed D) (Instr. and 5)	6. Date Exe Expiration (Month/Day	s, c Date //Yea	convertib	7. Title and of Securitie Underlying Security (In 4)	rities I Amou es I Deriva nstr. 3 a Amou or Numb	nt a ative and a unt per ares	8. Price of Derivative Security	derivative Securitie Beneficia Owned Following Reported Transacti	e s ally g ion(s)	Ownership Form: Direct (D) or Indirect	of Indirect Beneficial Ownership (Instr. 4)
Option (right to	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	(e.g., bate, 4 (Year) 8	puts 4. Transa Code ( 8) Code	s, ca action (Instr.	5. Ni Deri Secu Acq or D of (E 3, 4	varrants umber of vative uirites uired (A) isposed o) (Instr. and 5) (D)	6. Date Exe Expiration (Month/Day Date Exercisable	e E IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	convertib able and r) expiration vate	7. Title and of Securiti Underlying Security (In 4) Title	Amou of Sha	s) nt ative and nt per ares 010	8. Price of Derivative Security (Instr. 5)	derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g ion(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
Option (right to buy) Option (right to	Conversion or Exercise Price of Derivative Security \$9.2915	Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	(e.g., bate, 4 (Year) 8	puts 4. Transa Code ( 8) Code	s, ca action (Instr.	5. Ni Deri Secu Acq or D of (E 3, 4	varrants umber of vative uirites uired (A) isposed o) (Instr. and 5) (D)	6. Date Exe Expiration (Month/Day Date Exercisable	e E E I I I I I I I I I I I I I I I I I	in the second se	De secut 7. Title and of Security Underlying Security (II 4) Title Common Stock	Amou or Numb 232,	s) nt attive and nt per ares 010 763	8. Price of Derivative Security (Instr. 5)	derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4) 82,01	e s ally g lion(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
Option (right to buy) Option (right to buy)	Conversion or Exercise Price of Derivative Security \$9.2915 \$9.2915	Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	(e.g., bate, 4 (Year) 8	puts 4. Transa Code ( 8) Code	s, ca action (Instr.	5. Ni Deri Secu Acq or D of (E 3, 4	varrants umber of vative uirites uired (A) isposed o) (Instr. and 5) (D)	6. Date Exe Expiration (Month/Day Date Exercisable (1) (2)	ercisa Date //Yea	in the second se	Dele secut 7. Title and of Security Underlying Security (In 4) Title Common Stock Common	Amou or Numb 232, 10,7	s) nt tative tative tations and tables the set of the set of tables tables the set of tables	8. Price of Derivative Security (Instr. 5)	derivativa Securitie Beneficia Owned Following Reported Transacti (Instr. 4) 82,01	e s ally g ion(s) [1] 53	Dwnership Form: Direct (D) or Indirect (I) (Instr. 4) D	of Indirect Beneficial Ownership (Instr. 4)
Option (right to buy) Option (right to buy) Option (right to buy)	Conversion or Exercise Price of Derivative Security \$9.2915 \$9.2915	Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	(e.g., bate, 4 (Year) 8	puts 4. Transa Code ( 8) Code	s, ca action (Instr.	5. Ni Deri Secu Acq or D of (E 3, 4	varrants umber of vative uirites uired (A) isposed o) (Instr. and 5) (D)	6. Date Exe Expiration (Month/Day Date Exercisable (1) (2) (3)	s, c prcisa Date prcisa Prcisa Date Date 1 1 1 0 0	convertib           able and (r)         7           sxpiration vate         7           1/04/2018         7           1/18/2018         7           5/18/2021         7	Dele secut 7. Title and of Securitu Underlying Security (In 4) Title Common Stock Common Stock Common	rities Amou or Numb 232, 10,7	s) nt tative and	8. Price of Derivative Security (Instr. 5)	derivativ Securitie Beneficia Owned Following Reported Transacti (Instr. 4) 82,01 10,76	e s slally g i ion(s) 11	Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D D	of Indirect Beneficial Ownership (Instr. 4)
Option (right to buy) Option (right to buy) Option (right to buy) Option (right to buy) Option (right to buy)	Conversion or Exercise Price of Derivative Security \$9.2915 \$9.2915 \$9.2915	Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	(e.g., bate, 4 (Year) 8	puts 4. Transa Code ( 8) Code	s, ca action (Instr.	5. Ni Deri Secu Acq or D of (E 3, 4	varrants umber of vative uirites uired (A) isposed o) (Instr. and 5) (D)	6. Date Exe Expiration (Month/Day Date Exercisable (1) (2) (3) (4)	s, c Date //Yea	able and able and r)       7	Dele secut 7. Title and of Securitu Underlying Security (II 4) Title Common Stock Common Stock Common Stock Common	rities Amou perive nstr. 3 a Amou or Numt of Sh 232, 10,7 10,7	s) int fative factors for the factor factor factor factor factors factor factors factor factors factor factors factor	8. Price of Derivative Security (Instr. 5)	derivativ Securitie Beneficia Owned Following Reported Transacti (Instr. 4) 82,01 10,76	e s salaly g f ion(s) 11 33 33 33	Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D D D D	of Indirect Beneficial Ownership (Instr. 4)

05/21/2024

05/20/2025

05/17/2026

(12)

(8)

(9)

(10)

(11)

Common Stock

Common

Stock

Common

Stock

Restricted Stock

10,250

10,000

10,000

43,425

Explanation of Responses:

\$13.2098

\$17.08

\$18.25

\$29.38

Option (right to buy)

Option (right to buy)

Option (right to buy)

Restricted

Stock

1. These options vest in three equal annual installments beginning one year after the 11/04/2008 date of grant.

2. These options vest in three equal annual installments beginning one year after the 11/18/2008 date of grant.

- 3. These options vest in three equal annual installments beginning one year after the 01/02/2009 date of grant.
- 4. These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.
- 5. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant. 6. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.
- 7. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.
- 8. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.
- 9. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.
- 10. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.
- 10. These options vest in three equal annual instantients beginning one year after the 03/17/2010 date of grant.
- 11. These restricted stock vest in three equal annual installments beginning one year after the 08/15/2018 date of grant.
- 12. There will be no expiration date once restricted stock shares vest.

Remarks:

Yee Phong Thian

\*\* Signature of Reporting Person Date

11/08/2018

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.