## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

	s of Reporting Person	)* ]	2. Issuer Name <b>and</b> Ticker or Trading Symbol <b>RBB</b> Bancorp <b>RBB</b> Image: Complexity of the symbol         Image: Complexity of the symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Liu Vincent</u>					Director	10% Owner			
				x	Officer (give title	Other (specify below)			
(Last) 660 S. FIGUERC SUITE 1888	(First) DA STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/09/2018		below) EVP	Delow)			
			4. If Amendment, Date of Original Filed (Month/Day/Year)		vidual or Joint/Group Filing (Check Applicabl				
(Street)			02/16/2018	Line)	Form filed by One Repo	rting Person			
LOS ANGELES CA		90017			Form filed by More than Person	0			
(City)	(State)	(Zip)							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)	
Common Stock, No Par Value	02/09/2018	02/09/2018	М		50,000	Α	\$9.2915	103,364	D		
Common Stock, No Par Value	02/09/2018	02/09/2018	М		50,000	D	\$25.4001	53,364	D		
Stock Options	02/09/2018	02/09/2018	М		50,000	D	\$9.2915	247,465	D		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(eigi, pato, variatio, options, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (right to buy)	\$9.2915	02/09/2018	02/09/2018	М			50,000	(1)	11/04/2018	Common Stock	171,006	\$9.2915	121,006	D	
Option (right to buy)	\$9.2915							(2)	11/18/2018	Common Stock	5,381		5,381	D	
Option (right to buy)	\$11.1498							(3)	01/01/2023	Common Stock	121,078		121,078	D	

#### Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 11/04/2008 date of grant.

2. These options vest in three equal annual installments beginning one year after the 11/18/2008 date of grant.

3. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.

#### **Remarks:**

#### Vincent Liu

11/08/2018 ng Person Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.