SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>RBB Bancorp</u> [ RBB ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Lin Ko-Yen			<u></u> [ [ ]	X	Director	10% Owner			
(Last) 1055 WILSHIRE	(First) BLVD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/19/2022		Officer (give title below)	Other (specify below)			
SUITE 1200			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable				
(Street)	CA	90017		X	Form filed by One Reporting Person				
LUS ANGELES		90017			Form filed by More than One Reporting Person				
(City)	(State)	(Zip)							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (	ction	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	(D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock, No Par Value								105,828	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and Expiration Date 1. Title of 3. Transaction 3A. Deemed 5. Number 7. Title and Amount 8. Price of 9. Number of 11. Nature 10. Conversion Transaction Ownership Date Execution Date. Derivative Derivative of of Securities derivative of Indirect if any (Month/Day/Year) Code (Instr. 8) Derivative Securities Acquired Security (Instr. 3) or Exercise Price of (Month/Day/Year) (Month/Day/Year) Underlying Derivative S Security (Instr. 5) Securities Beneficially Form: Direct (D) Beneficial Ownership ecurity Derivative (Instr. 3 and 4) Owned or Indirect (Instr. 4) Following (I) (Instr. 4) Security (A) or Disposed Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares Option Commo \$11.1498 (1) 05/15/2023 10,763 10,763 D (right to Stock

Option Commor (2) 05/17/2026 10,000 (right to \$18.25 10,000 D Stock buy) Restricted Restricted Stock \$0.0000 (3) (4) Stock 1,000 1,000 D Units Units Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.

2. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

3. These restricted stock units vest in three equal annual installments beginning one year after the 01/19/2022 date of grant.

4. There will be no expiration date once restricted stock units vest.

### **Remarks:**

buy)

Ko-Yen Lin was granted 1,000 restricted stock units that will vest in three equal annual installments on 01/19/2023, 01/19/2024, and 01/19/2025.

/s/ Ko-Yen Lin

\*\* Signature of Reporting Person

01/24/2022 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.