FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

	OMB APPROVAL									
	OMB Number:	3235-0287								
	Estimated average t	ourden								
- 1	ha nas saanaa.	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

								. ,												
1. Name and Address of Reporting Person* <u>Lin Ko-Yen</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol RBB Bancorp [ RBB ]									tionship of all applications	•		son(s) to Iss 10% Ov		
(Last) 1055 WI	(F LSHIRE B	ŕ	(Middle)	)		3. Date of Earliest Transaction (Month/Day/Year) 02/17/2021										Officer (give title below)		Other (s below)	specify	
SUITE 1200							4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)									plicable				
(Street) LOS ANGELES CA 90017															X Form filed by One Reporting Person  Form filed by More than One Reporting Person					
(City) (State) (Zip)																				
		Tak	le I - I	Non-Der	ivativ	e Sec	curit	ies A	cquir	ed, D	isposed o	f, or B	enefic	ially	Owned					
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y				Execution Date,				4. Securities and Disposed Of			Benefic Owned		es ially Following	Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership				
							Code	v	Amount	(A) or (D)	Price		Reporte Transac (Instr. 3	action(s) 3 and 4)			(Instr. 4)			
Common Stock, No Par Value 02/17/202					2021	21		М		10,763	A	\$11.1	11.1498		116,591		D			
Common Stock, No Par Value 02/18/202					2021				S		10,763(1)	D	\$18.67	775(2)	5 <sup>(2)</sup> 105,828			D		
		•	Table								sposed of, s, convertil				wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Day	tion Date,	4. Transa Code ( 8)			Expiration (Month/Day			7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		D	3. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership ct (Instr. 4)		
					Code	v	(A)	(D)	Date Exerc	cisable	Expiration Date	Title	Amou or Numb of Share	er						
Option (right to buy)	\$11.1498	02/17/2021			M			10,763	(	(3)	05/16/2012	Commo	n 10,70	63 \$	11.1498	0		D		
Option (right to buy)	\$11.1498									(4)	05/15/2023	Commo	n 10,70	63		10,763	3	D		

## **Explanation of Responses:**

\$18.25

1. Exercise of reporting person's stock options that would otherwise expire 05/16/2022, of which shares were sold to pay the exercise price, to cover withholding taxes, and to pay broker fees and commissions, pursuant to a broker-assisted cashless exercise and sale.

(5)

- 2. Price reflected is the weighted-average sale price for shares sold. The shares were sold in multiple transactions, and the range of sale prices for the transactions reported was \$18.52 to \$18.79. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or any staff member of the Securities and Exchange Commission, upon request, information regarding the number of shares sold at each separate price.
- $3. \ These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 05/16/2012 \ date \ of \ grant.$
- $4. \ These \ options \ vest \ in three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 05/15/2013 \ date \ of \ grant.$
- 5. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

## Remarks:

Option

(right to

buy)

Ko-Yen Lin is reporting a cashless exercise and sale of 10,763 shares

/s/ Ko-Yen Lin 02/19/2021

\*\* Signature of Reporting Person

Common

05/17/2026

10,000

10,000

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.