SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add LIN CHUA	dress of Reporting		2. Date of Event Requiring Statement (Month/Day/Year) 07/25/2017		3. Issuer Name and Ticker or Trading Symbol <u>RBB Bancorp</u> [RBB]					
(Last) (First) (Middle) 660 S. FIGUEROA STREET					4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner		(Mo	5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 1888					Officer (give title below)	Other (spe below)	2 10.11	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) LOS ANGELES	CA	90017					_ · ·	Form filed b	y One Reporting Person y More than One erson	
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)			. Nature of Indirect Beneficial Ownership Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Option (right to buy)		(1)	05/18/2021	Common Stock	10,763	9.2915	D			
Option (right to buy)		(2)	05/16/2022	2 Common Stock	10,763	11.1498	D			
Option (right to buy)		(3)	05/15/2023	3 Common Stock	10,763	11.1498	D			
Option (right to buy)		(4)	05/21/2024	Common Stock	10,250	13.2098	D			
Option (right to buy)			(5)	05/20/2025	5 Common Stock	10,000	17.08	D		
Option (right to buy)		(6)	05/17/2026	5 Common Stock	10,000	18.25	D			

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.

2. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.

3. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.

4. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.

5. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.

6. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

Remarks:

Chuang-I Lin

** Signature of Reporting Person

07/25/2017 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.