SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Pang Simon	2. Date of Event Requiring Statement (Month/Day/Year) 07/25/2017											
(Last) (First) (Middle) 660 S. FIGUEROA STREET					4. Relationship of Reporting Perso (Check all applicable) Director		10%	10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 1888					Х	Officer (give title below)		Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) LOS ANGELES	CA	90017				EVP				X		y One Reporting Person y More than One erson
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		Form or Ind	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, No Par Value						24,754		D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security			y (Instr. 4) Co		rsion	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	Title		Amo or Num of Sha	nber	Security		Direct (D) or Indirect (I) (Instr. 5)	
Option (right to buy)		(1)	11/04/2018		Common Stock	104	104,906 9.29		915	D		
Option (right to buy)			(2)	11/18/2018		Common Stock	5,3	5,381 9.291		915	D	
Option (right to buy)			(3)	01/01/2023		Common Stock	121	,078	11.1	498	D	
Explanation of R	eenoneee.											

tion of Responses:

1. These options vest in three equal annual installments beginning one year after the 11/04/2008 date of grant.

2. These options vest in three equal annual installments beginning one year after the 11/18/2008 date of grant.

3. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.

Remarks:

Simon Pang

07/25/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.