## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to	c
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

1. Name and Address	s of Reporting Persor	)* ]	2. Issuer Name <b>and</b> Ticker or Trading Symbol <b>RBB</b> Bancorp       [RBB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Kao James</u>				Х	Director	10% Owner			
(Last) (First) (Middle) 1055 WILSHIRE BLVD SUITE 1200		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/21/2019		Officer (give title below)	Other (specify below)			
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)				X	Form filed by One Reporti	ng Person			
LOS ANGELES	CA	90017			Form filed by More than O Person	one Reporting			
(City)	(State)	(Zip)							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, No Par Value	08/21/2019	08/21/2019	М		10,000	A	\$17.08	532,939	D		
Common Stock, No Par Value	08/21/2019	08/21/2019	М		10,000	A	\$18.25	542,939	D		
Stock Options	08/21/2019	08/21/2019	М		10,000	D	\$17.08	10,000	D		
Stock Options	08/21/2019	08/21/2019	М		10,000	D	\$18.25	0	D		

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (right to buy)	\$17.08	08/21/2019	08/21/2019	М			10,000	(1)	05/20/2025	Common Stock	10,000	\$17.08	0	D	
Option (right to buy)	\$18.25	08/21/2019	08/21/2019	М			10,000	(2)	05/17/2026	Common Stock	10,000	\$18.25	0	D	

### Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.

2. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

#### Remarks:

Manually adjusted common stock. All is up to date.

## <u>James Kao</u>

\*\* Signature of Reporting Person

08/23/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.