# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

#### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): February 17, 2023 (February 15, 2023)

# **RBB BANCORP**

(Exact name of Registrant as Specified in Its Charter)

California (State or Other Jurisdiction of Incorporation) 001-38149 (Commission File Number) 27-2776416 (IRS Employer Identification No.)

1055 Wilshire Blvd., 12th floor, Los Angeles, California (Address of Principal Executive Offices)

90017 (Zip Code)

Registrant's Telephone Number, Including Area Code: (213) 627-9888

Not Applicable (Former Name or Former Address, if Changed Since Last Report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instructions A.2. below):			
	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)		
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)		
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))		
	Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))		
Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).			
Emerging growth company $\square$			
	n emerging growth company, indicate by check mevised financial accounting standards provided pu		he extended transition period for complying with any new t. $\Box$
Securities registered pursuant to Section 12 (b) of the Act:			
	Title of each class Common Stock, No Par Value	Trading Symbol(s) RBB	Name of exchange on which registered NASDAQ Global Select Market

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On February 15, 2023, Mr. Ko-Yen Lin resigned from the board of directors of RBB Bancorp and its wholly-owned subsidiary, Royal Business Bank (collectively, the "Company"), effective March 1, 2023. Mr. Lin also resigned his positions on the Company's Director's Loan Committee, Community Reinvestment Act Committee and Asset/Liability Committee, and the Boards of Directors of RBB Asset Management Company and FAIB Capital Corp., subsidiaries of RBB Bancorp. His letter of resignation is attached as Exhibit 99.1.

#### Item 9.01 Financial Statements and Exhibits.

- (d) Exhibits.
- 99.1 <u>Resignation Letter</u>
- 104 Cover Page Interactive Data File (embedded within the Inline XBRL document)

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Date: February 17, 2023

RBB BANCORP (Registrant) By: /s/ David Morris

David Morris
President and Chief Executive Officer
and Chief Financial Officer

## Ko Yen Lin

# 5065 Parkway Calabasas

# Calabasas, CA 91302

February 15, 2023

Mr. James Kao

Chairman of the Board

**RBB** Bancorp

1055 Wilshire Blvd., Suite 1200

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Los Angeles, CA 90017

Dear Mr. Kao,

After much consideration, due to my health status, I respectfully would like to resign from my Board of Director position effective March 1, 2023.

Sincerely,

Ko Yen Lin