SEC For	rm 4 FORM	<b>1</b> 1		) STA	TES	55	FCU	RITI	FS AN	DF	=x(	СНА	NG	= C	омм	ISSION				
	FORM	-		. 01/					ington, D.C									ОМВ	APPRC	VAL
Section obligat	this box if no lo n 16. Form 4 or lions may contin tion 1(b).	onger subject to · Form 5 nue. See	STAT		ed purs	suant	to Sect	ion 16	<b>ES IN I</b> (a) of the Se e Investment	ecuri	ities	Exchar	nge Act	of 19		SHIP	Estim		er: verage burde sponse:	3235-0287 en 0.5
1. Name ar <u>Kao Ja</u>		Reporting Person*							icker or Tra	lding	Sym	nbol			(Ch	Relationship neck all appli X Directo	cable)	ng Pers	son(s) to Is 10% C	
(Last)		,	(Middle)				of Earlie 2 <mark>023</mark>	est Tra	nsaction (N	1onth	n/Day	y/Year)				Officer below)	(give title		Other ( below)	specify
1055 WI SUITE 1 (Street)	LSHIRE B	LVD				If Am L/20/.		t, Date	e of Origina	l File	ed (M	1onth/D	ay/Yea	r)	Lin	X Form f	iled by On iled by Mo	e Repo	g (Check A orting Pers n One Rep	on
LOS AN (City)	IGELES C. (S		90017 (Zip)		R	] Che	eck this b	ox to ir	c) Trans	a trans	sactio	on was i	made p	ursuan		Persor tract, instruction on 10.		n plan tł	hat is intend	ed to
		Tab	le I - Nor	n-Deriv	/ativ	e Se	curiti	es A	cquired,	Dis	spo	sed o	of, or	Ben	eficial	ly Owned	ł			
1. Title of	Security (Ins	tr. 3)		2. Tran Date (Month			2A. Dee Executi if any (Month)	on Dat	Code		n   D	Dispose			d (A) or r. 3, 4 and	Benefici Owned F	es ally =ollowing	Form (D) or	vnership n: Direct r Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership
									Code	v	4	Amount		A) or D)	Price	Reported Transact (Instr. 3	tion(s)			(Instr. 4)
Common	Stock, No	Par Value		01/1	8/202	23			М			800		A	\$ <mark>0</mark>	445	5,276		D	
Common	Stock, No	Par Value		01/1	9/202	23			М			500		A	\$ <mark>0</mark>	445	5,776		D	
		T	able II -						quired, E s, optio							/ Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Day	Date,	4. Trans Code 8)		n of Deriv Secu Acqu (A) o Disp of (D	r osed ) r. 3, 4	6. Date Ex Expiratior (Month/Da	Date	е	e and	of Sec Under	urities lying tive S	ecurity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s Illy J	10. Ownershij Form: Direct (D) or Indirect (I) (Instr. 4	Beneficia Ownershi (Instr. 4)
					Code	v	(A)	(D)	Date Exercisab		Expir Date	ration	Title		Amount or Number of Shares					

Restricted 01/19/2022 Stock Units 500 \$<mark>0</mark> Μ Restricted 01/18/2023 Stock Units \$<mark>0</mark> Μ 800

Explanation of Responses:

1. These restricted stock units vest in two installments: 500 at 07/21/2022 and 500 at 01/19/2023.

2. There will be no expiration date once restricted stock units vest.

3. These restricted stock units vest in two installments: 800 on 01/18/2023 and 600 at 01/18/2024.

**Remarks:** 

Dr. James Kao was granted 1,400 restricted stock units that will vest in two installments: 800 on 01/18/2023 and 600 on 01/18/2024. The second and final vesting of the RSUs that were granted on 1/19/2022 are also reflected. Amended to reflect correct number of options in Table II.

(1)

(3)

|--|

(2)

(2)

Restricted

Stock Units

Restricted

Stock Units

\*\* Signature of Reporting Person Date

500

1,400

\$<mark>0</mark>

\$<mark>0</mark>

0

600

11/09/2023

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.