**Stock Options** 

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Common Stock, No Par Value

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FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

<b>STATEMENT</b>	OF CHANGES	S IN BENEFICIA	AL OWNERSHIP

11/06/2018

11/06/2018

11/06/2018

11/06/2018

	OMB APPRO	OVAL
	OMB Number:	3235-0287
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l	hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>LIN CHUANG I</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol RBB Bancorp [ RBB ]						5. Relationship of Reporting Person(s) to Is (Check all applicable) X Director 10% C			
(Last) (First) (Middle) 660 S. FIGUEROA STREET SUITE 1888				3. Date of Earliest Transaction (Month/Day/Year) 11/06/2018							Officer (give title below)	Other below	(specify )
				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) LOS ANGELES	CA	90017								X	Form filed by One Form filed by Mor Person		
(City)	(State)	(Zip)											
	Ta	able I - N	on-Derivativ	ve Securities Ac	quire	d, Di	sposed of,	or Be	neficia	ally (	Owned		
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities A Disposed Of (			i 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

M

M

M

10,763

10,763

10,763

10,763

D

D

A

 $\mathbf{A}$ 

\$9.2915

\$11.1498

\$9.2915

\$11.1498

51,776

41,013

10,763

21,526

D

D

D

D

11/06/2018

11/06/2018

11/06/2018

11/06/2018

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (right to buy)	\$9.2915	11/06/2018	11/06/2018	M			10,763	(1)	05/18/2021	Common Stock	10,763	\$9.2915	0	D	
Option (right to buy)	\$11.1498	11/06/2018	11/06/2018	M			10,763	(2)	05/16/2022	Common Stock	10,763	\$11.1498	0	D	
Option (right to buy)	\$11.1498							(3)	05/15/2023	Common Stock	10,763		10,763	D	
Option (right to buy)	\$13.2098							(4)	05/21/2024	Common Stock	10,250		10,250	D	
Option (right to buy)	\$17.08							(5)	05/20/2025	Common Stock	10,000		10,000	D	
Option (right to buy)	\$18.25							(6)	05/17/2026	Common Stock	10,000		10,000	D	

## **Explanation of Responses:**

- $1. These options vest in three equal annual installments beginning one year after the 05/18/2011 \ date of grant.\\$
- 2. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.
- 3. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.
- 4. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.
- $5. \ These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 05/20/2015 \ date \ of \ grant.$
- $6. \ These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 05/17/2016 \ date \ of \ grant.$

## Remarks:

Chuang-I Lin

11/07/2018

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.