SEC Form 4

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FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

0.5

hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*]			2. Issuer Name and Ticker or Trading Symbol RBB Bancorp RBB		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Kao Jame	<u>s</u>			X	(Check all applicable) X Director 10% O Officer (give title Other (below) below)	10% Owner				
(Last) (First) (Middle) 1055 WILSHIRE BLVD SUITE 1200 (Street) LOS ANGELES CA 90017		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/21/2022			Other (specify below)				
			4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable					
			01/24/2022	1 '	1 '					
		90017				an One Reporting				
(City)	(State)	(Zip)								
		Table I - Non-D	erivative Securities Acquired. Disposed of, or Ben	eficially	Owned					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock, No Par Value	07/21/2022		М		600	Α	\$ <mark>0</mark>	444,476	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				-												
1. Title of Derivative Security (Instr. 3)		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
Restricted Stock Units	\$ <mark>0</mark>	07/21/2022		М			600	(1)	(2)	Restricted Stock Units	1,100	\$ <u>0</u>	500	D		

Explanation of Responses:

1. These restricted stock units vest in two installments: 600 at 07/21/2022 and 500 at 01/19/2023.

2. There will be no expiration date once restricted stock units vest.

Remarks:

On July 20, 2022, the Board of Directors approved the amendment of all director's vesting period. This represents James Kao's first vesting of 600 RSUs.

<u>/s/ Ja</u>	mes	Kao		
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Date * Signature of Reporting Person

07/21/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.