## FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|                                  | Address of Reporting  | Person *         | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u> RBB Bancorp</u> [ RBB ] |                  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |                       |  |  |  |
|----------------------------------|-----------------------|------------------|---|------------------|--|-----------------------|--|--|--|
| Kao Jam                          | les                   |                  |   | X                | Director   | 10% Owner             |  |  |  |
|                                  | (First)<br>SHIRE BLVD | (Middle)         | 3. Date of Earliest Transaction (Month/Day/Year)<br>04/28/2020                    |                  | Officer (give title<br>below)  | Other (specify below) |  |  |  |
| SUITE 1200                       |                       |                  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                          | 6. Indi<br>Line) | 6. Individual or Joint/Group Filing (Check Applicable                      |                       |  |  |  |
| (Street)<br>LOS ANGELES CA 90017 |                       |                  | 03/10/2020  | X                | Form filed by One Reporting Person   |                       |  |  |  |
|                                  |                       | 90017            | _   |                  | Form filed by More th<br>Person  | an One Reporting      |  |  |  |
| (City)                           | (State)               | (Zip)            |   |                  |  |                       |  |  |  |
|                                  |                       | Table I - Non-De | rivative Securities Acquired. Disposed of, or Ben                                 | eficially        | / Owned  |                       |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   |        |               |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|---|---|---|--------|---------------|-------------|---|---|---|--|
|                                 |  |   | Code                                    | v | Amount | (A) or<br>(D) | Price       | Transaction(s)<br>(Instr. 3 and 4)  |   | (1150.4)  |  |
| Common Stock, No Par Value      | 03/10/2020                                 | 03/10/2020  | Р                                       |   | 5,000  | A             | \$<br>14.58 | 376,876   | D   |   |  |
| Common Stock, No Par Value      | 03/10/2020                                 | 03/10/2020  | Р                                       |   | 5,000  | A             | \$<br>14.08 | 381,876   | D   |   |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |   | of  |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|---|---|-----|-----|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                                    | v | (A) | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

## **Remarks:**

Switched Date of Earliest Transaction and Date Original Filed.

James Kao

04/29/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5