

| OMB APPROVAL | |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

| | | |
|--|---|--|
| 1. Name and Address of Reporting Person* <u>Koo Chie-Min Christopher</u> (Last) (First) (Middle) <u>1055 WILSHIRE BLVD</u> <u>SUITE 1200</u> (Street) <u>LOS ANGELES CA</u> <u>90017</u> (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>RBB Bancorp [RBB]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below) |
| | 3. Date of Earliest Transaction (Month/Day/Year) <u>08/06/2020</u> | |
| | 4. If Amendment, Date of Original Filed (Month/Day/Year) <u>08/10/2020</u> | 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock, No Par Value | 08/06/2020 | 08/06/2020 | s | | 300 | D | \$12.82 | 19,502 | D | |
| Stock Options | | | | | | | | 62,539 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|--|--|---|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | | | | | |
| Option (right to buy) | \$9.2915 | | | | | | | (1) | 05/18/2021 | Common Stock | 10,763 | 10,763 | D | |
| Option (right to buy) | \$9.2915 | | | | | | | (2) | 01/02/2019 | Common Stock | 0 | 0 | D | |
| Option (right to buy) | \$11.1498 | | | | | | | (3) | 05/16/2022 | Common Stock | 10,763 | 10,763 | D | |
| Option (right to buy) | \$11.1498 | | | | | | | (4) | 05/15/2023 | Common Stock | 10,763 | 10,763 | D | |
| Option (right to buy) | \$13.2098 | | | | | | | (5) | 05/21/2024 | Common Stock | 10,250 | 10,250 | D | |
| Option (right to buy) | \$17.08 | | | | | | | (6) | 05/20/2025 | Common Stock | 10,000 | 10,000 | D | |
| Option (right to buy) | \$18.25 | | | | | | | (7) | 05/17/2026 | Common Stock | 10,000 | 10,000 | D | |

Explanation of Responses:

- These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.
- These options vest in three equal annual installments beginning one year after the 01/02/2009 date of grant.
- These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.
- These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.
- These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.
- These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.
- These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

Remarks:

Christopher Koo sold a total of 300 shares on 8/6/2020.

Christopher Koo

08/10/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

