SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

0.5

POF Estimated average burden

hours per response:

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Song Tammy	2. Date of Requiring (Month/Da 02/01/20	Statement ly/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>RBB Bancorp</u> [       RBB ]					
(Last) (First) (Middle) 1055 WILSHIRE BLVD SUITE 1200			4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below) EVP	10% O	wner	<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing</li> </ul>		
(Street) LOS ANGELES CA 90017	_			below)		(Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or In (I) (Instr	Direct Ov	Nature of Indire vnership (Instr.		
Common Stock, No Par Value			1,900	E				
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversio or Exercis	e Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)	
Option (right to buy)	(1)	01/01/2023	Common Stock	3,229	11.1498	D		
Option (right to buy)	(2)	07/01/2023	Common Stock	5,381	11.1498	D		
Option (right to buy)	(3)	01/01/2024	Common Stock	2,153	13.2098	D		
Option (right to buy)	(4)	01/01/2026	Common Stock	6,000	18.25	D		
Option (right to buy)	(5)	01/23/2029	Common Stock	3,000	18.38	D		
Option (right to buy)	(6)	01/22/2030	Common Stock	9,000	20.55	D		
Option (right to buy)	(7)	07/15/2030	Common Stock	30,000	13.33	D		
Option (right to buy)	(8)	01/21/2031	Common Stock	30,000	17.74	D		

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.

2. These options vest in three equal annual installments beginning one year after the 07/01/2013 date of grant.

3. These options vest in three equal annual installments beginning one year after the 01/01/2014 date of grant.

4. These options vest in three equal annual installments beginning one year after the 01/01/2016 date of grant.

5. These options vest in three equal annual installments beginning one year after the 01/23/2019 date of grant.

 $6. \ These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 01/22/2020 \ date \ of \ grant.$ 

7. These options vest in three equal annual installments beginning one year after the 07/15/2020 date of grant.

8. These options vest in three equal annual installments beginning one year after the 01/21/2021 date of grant.

<u>/s/ Tammy Song</u>

\*\* Signature of Reporting Person

02/02/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.