

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Thian Yee Phong</u>  (Last) (First) (Middle) 1055 WILSHIRE BLVD SUITE 1200  (Street) LOS ANGELES CA 90017  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>RBB Bancorp [ RBB ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) President/CEO
	3. Date of Earliest Transaction (Month/Day/Year) 11/01/2018	
4. If Amendment, Date of Original Filed (Month/Day/Year) 11/01/2018		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, No Par Value	11/01/2018	11/01/2018	M		103,537	A	\$9.2915	435,114	D	
Stock Options	11/01/2018	11/01/2018	M		103,537	D	\$9.2915	220,629	D	
Restricted Stock Shares								43,425	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		(A)	(D)	Date Exercisable	Expiration Date				
Option (right to buy)	\$9.2915	11/01/2018	11/01/2018	M		82,011	(1)	11/04/2018	Common Stock	82,011	\$9.2915	0	D	
Option (right to buy)	\$9.2915	11/01/2018	11/01/2018	M		10,763	(2)	11/18/2018	Common Stock	10,763	\$9.2915	0	D	
Option (right to buy)	\$9.2915	11/01/2018	11/01/2018	M		10,763	(3)	01/02/2019	Common Stock	10,763	\$9.2915	0	D	
Option (right to buy)	\$9.2915						(4)	05/18/2021	Common Stock	10,763		10,763	D	
Option (right to buy)	\$11.1498						(5)	05/16/2022	Common Stock	10,763		10,763	D	
Option (right to buy)	\$11.1498						(6)	01/01/2023	Common Stock	242,156		242,156	D	
Option (right to buy)	\$11.1498						(7)	05/15/2023	Common Stock	10,763		10,763	D	
Option (right to buy)	\$13.2098						(8)	05/21/2024	Common Stock	10,250		10,250	D	
Option (right to buy)	\$17.08						(9)	05/20/2025	Common Stock	10,000		10,000	D	
Option (right to buy)	\$18.25						(10)	05/17/2026	Common Stock	10,000		10,000	D	
Restricted Stock Shares	\$0.00						(11)	(12)	Restricted Stock	43,425		43,425	D	

Explanation of Responses:

- These options vest in three equal annual installments beginning one year after the 11/04/2008 date of grant.
- These options vest in three equal annual installments beginning one year after the 11/18/2008 date of grant.
- These options vest in three equal annual installments beginning one year after the 01/02/2009 date of grant.
- These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.
- These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.

6. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.
7. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.
8. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.
9. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.
10. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.
11. These restricted stock vest in three equal annual installments beginning one year after the 08/15/2018 date of grant.
12. There will be no expiration date once restricted stock shares vest.

**Remarks:**

Amended the price of the restricted stock.

Yee Phong Thian

08/18/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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