SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address		'erson [*]	2. Issuer Name and Ticker or Trading Symbol <u> RBB Bancorp</u> [RBB]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Morris David	Richard		<u> </u>	X	Director	10% Owner			
(Last) 1055 WILSHIRE SUITE 1200	(First) E BLVD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/18/2023		Officer (give title below) President/CE	Other (specify below) O/ CFO			
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable			
(Street) LOS ANGELES	CA	90017		X	Form filed by One Re	e e			
(City)	(State)	(Zip)	-		Form filed by More the Person	an One Reporting			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(mau. 4)
Common Stock, No Par Value	01/19/2023		М		767	Α	\$ <mark>0</mark>	36,068	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Expir		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (right to buy)	\$11.1498							(1)	01/01/2024 ⁽⁵⁾	Common Stock	12,288		12,288	D	
Option (right to buy)	\$20.55							(2)	01/22/2030	Common Stock	9,000		9,000	D	
Restricted Stock Units	\$0	01/19/2023		М			767	(3)	(4)	Restricted Stock Units	2,301	\$0	1,534	D	
Restricted Stock Units	\$0.0000							(6)	(4)	Restricted Stock Units	7,807		7,807	D	

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.

2. These options vest in three equal annual installments beginning one year after the 01/22/2020 date of grant.

3. These restricted stock units vest in three equal annual installments beginning one year after the 01/19/2022 date of grant

4. There will be no expiration date once restricted stock units vest.

5. These granted options have been extended for another year from 01/01/2023 to 01/01/2024

6. These restricted stock units vest in three equal installments beginning one year after 01/18/2023 date of grant.

Remarks:

David Morris was granted 7,807 restricted stock units that will vest in three equal annual installments on 01/18/2024, 01/18/2025, and 01/18/2026. The first vesting of the RSUs that were granted on 1/19/2022 are also reflected

/s/ David Morris	

Date

** Signature of Reporting Person

01/20/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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