## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	2054

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
In admination of the S

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Thian Yee Phong</u>						2. Issuer Name and Ticker or Trading Symbol RBB Bancorp [ RBB ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director				
(Last) (First) (Middle) 1055 WILSHIRE BLVD SUITE 1200					01	/21/2	2021		ansaction (Mo				X Officer (give title Other (specify below)  President/CEO						
(Street) LOS ANGELES CA 90017						4. If Amendment, Date of Original Filed (Month/Day/Year) 01/26/2021									Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting				
(City) (State) (Zip)												Person							
		Tal	ole I - Nor	า-Deriv	ativ	e Se	curit	ies A	Acquired,	Disp	osed	of, or	Bene	ficiall	y Owned				
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)			2A. Deemed Execution Date, if any (Month/Day/Year)		Code (I	, Transaction Dispose Code (Instr. 5)		urities Acquired (A) or sed Of (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amour	nt (	A) or D)	Price	Transact (Instr. 3 a				
	d Stock Sha															74,475		D	
Common	Stock, No															,064		D	
									quired, D ts, option						Owned				
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution D Security or Exercise (Month/Day/Year) if any		Date, T	4. Transaction Code (Instr. 8)		ı of Ex		Expiration D	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour of Securities Underlying Deriva Security (Instr. 3 a 4)		rivative 3 and	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	Ownersh Form: Direct (D or Indirect (I) (Instr.	Ownership	Beneficial Ownership (Instr. 4)	
				c	ode	v	(A)	(D)	Date Exercisable	Exp Dat	oiration e	Title	or Nu	nount mber Shares					
Option (right to buy)	\$9.2915								(1)	05/	18/2021	Commo Stock		0,763		10,76	53	D	
Option (right to buy)	\$11.1498								(2)	05/	16/2022	Commo Stock		0,763		10,76	53	D	
Option (right to buy)	\$11.1498								(3)	01/0	01/2023	Commo Stock		2,156		242,156		D	
Option (right to buy)	\$11.1498								(4)	05/	15/2023	Commo Stock	<sup>n</sup> 1	0,763		10,76	53	D	
Option (right to buy)	\$13.2098								(5)	05/2	21/2024	Commo Stock		0,250		10,25	50	D	
Option (right to buy)	\$17.08								(6)	05/2	20/2025	Commo Stock		0,000		10,00	00	D	
Option (right to buy)	\$18.25								(7)	05/	17/2026	Commo Stock		0,000		10,00	00	D	
Restricted Stock Shares	\$0.0000								(8)		(9)	Restrict Stock		4,475		14,47	15	D	
Restricted Stock	\$0.0000								(10)		(9)	Restrict Stock	ed 6	0,000		60,00	00	D	

#### **Explanation of Responses:**

- $1. These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 05/18/2011 \ date \ of \ grant.$
- 2. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.
- $3. \ These \ options \ vest \ in three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 01/01/2013 \ date \ of \ grant.$
- 4. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.
- $5. \ These \ options \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 05/21/2014 \ date \ of \ grant.$
- 6. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.
- 7. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.
- $8. \ These \ restricted \ stock \ vest \ in \ three \ equal \ annual \ installments \ beginning \ one \ year \ after \ the \ 08/15/2018 \ date \ of \ grant.$
- 9. There will be no expiration date once restricted stock shares vest.
- 10. These restricted stock vest in three equal annual installments beginning one year after the 01/21/2021 date of grant.

#### Remarks:

/s/ Yee Phong Thian

01/28/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.