SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL	
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name **and** Ticker or Trading Symbol
Thian Yee Phong (Last) (First) (Middle) 660 S. FIGUEROA STREET SUITE 1888 (Street) LOS ANGELES CA 90017 (City) (State) (Zip)	07/25/2017	RBB Bancorp [RBB] 4. Relationship of Reporting Person(s) to Issuer (Check all applicable): Director; 10% Owner; X Officer (give title below); Other (specify below) — President/CEO 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line): X Form filed by One Reporting Person; Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, No Par Value	431,577	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Option (right to buy)	(1)	11/04/2018	Common Stock	382,010	9.2915	D	
Option (right to buy)	(2)	11/18/2018	Common Stock	10,763	9.2915	D	
Option (right to buy)	(3)	01/02/2019	Common Stock	10,763	9.2915	D	
Option (right to buy)	(4)	05/18/2021	Common Stock	10,763	9.2915	D	
Option (right to buy)	(5)	05/16/2022	Common Stock	10,763	11.1498	D	
Option (right to buy)	(6)	01/01/2023	Common Stock	242,156	11.1498	D	
Option (right to buy)	(7)	05/15/2023	Common Stock	10,763	11.1498	D	
Option (right to buy)	(8)	05/21/2024	Common Stock	10,250	13.2098	D	
Option (right to buy)	(9)	05/20/2025	Common Stock	10,000	17.08	D	
Option (right to buy)	(10)	05/17/2026	Common Stock	10,000	18.25	D	

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 11/04/2008 date of grant.
2. These options vest in three equal annual installments beginning one year after the 11/18/2008 date of grant.
3. These options vest in three equal annual installments beginning one year after the 01/02/2009 date of grant.
4. These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.
5. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.
6. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.
7. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.
8. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.
9. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.
10. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

Remarks:

Yee Phong Thian — 07/25/2017

** Signature of Reporting Person — Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.