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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| 1. Name and Addres | s of Reporting Perso | n*       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol          RBB Bancorp       [RBB] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                                   |                   |  |  |  |
|--------------------|----------------------|----------|---|---|-----------------------------------|-------------------|--|--|--|
| Yeh Jeffrey        |                      |          |   | x   | Director                          | 10% Owner         |  |  |  |
|                    |                      |          |   |   | Officer (give title               | Other (specify    |  |  |  |
| (Last)             | (First)              | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)                                    |   | below)                            | below)            |  |  |  |
| 1055 WILSHIRE BLVD |                      | . ,      | 02/04/2020  |   | EVP                               |                   |  |  |  |
| SUITE 1200         |                      |          |   |   |                                   |                   |  |  |  |
|                    |                      |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            |   | vidual or Joint/Group Filing      | (Check Applicable |  |  |  |
| (Street)           |                      |          |   | Line)   |                                   |                   |  |  |  |
| LOS ANGELES        | CA                   | 90017    |   | X   | Form filed by One Repor           | rting Person      |  |  |  |
|                    |                      |          |   |   | Form filed by More than<br>Person | One Reporting     |  |  |  |
| (City)             | (State)              | (Zip)    |   |   |                                   |                   |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   |        |               |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|-----------------------------|---|--------|---------------|-----------|---|---|---|
|                                 |  |   | Code                        | v | Amount | (A) or<br>(D) | Price     | Transaction(s)<br>(Instr. 3 and 4)  |   | (1150.4)  |
| Common Stock, No Par Value      | 02/04/2020                                 | 02/04/2020  | М                           |   | 4,036  | Α             | \$11.1498 | 52,355  | D   |   |
| Stock Options                   | 02/04/2020                                 | 02/04/2020  | М                           |   | 4,036  | D             | \$11.1498 | 0   | D   |   |

 

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |       | 6. Date Exerc<br>Expiration Da<br>(Month/Day/) | ate                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-----|-------|--|--------------------|--|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D)   | Date<br>Exercisable                            | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Option<br>(right to<br>buy)                         | \$11.1498   | 02/04/2020                                 | 02/04/2020  | М                            |   |     | 4,036 | (1)  | 01/01/2023         | Common<br>Stock  | 4,036                                  | \$11.1498   | 0  | D  |  |
| Option<br>(right to<br>buy)                         | \$9.2915  |  |   |                              |   |     |       | (2)  | 01/01/2022         | Common<br>Stock  | 0                                      |   | 0  | D  |  |
| Option<br>(right to<br>buy)                         | \$9.2915  |  |   |                              |   |     |       | (3)  | 11/04/2018         | Common<br>Stock  | 0                                      |   | 0  | D  |  |

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.

2. These options vest in three equal annual installments beginning one year after the 01/01/2012 date of grant.

3. These options vest in three equal annual installments beginning one year after the 11/04/2008 date of grant.

**Remarks:** 

#### <u>Jeffrey Yeh</u>

02/04/2020

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.