SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

X	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)
X	Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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1. Name and Address of Reporting Person [*] Huang Pei Chin				2. Issuer Name and Ticker or Trading Symbol RBB Bancorp [RBB]												Owner			
(Last) (First) (Middle) 1055 WILSHIRE BLVD SUITE 1200				3. Date of Earliest Transaction (Month/Day/Year) 07/19/2019								Officer (give title X Other (specify below) Former Director							
(Street) LOS AN (City)	GELES C		90017 (Zip)		_ 4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)								ndividual or Joint/Group Filing (Check Applicable a) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Tab	le I - No	n-Deriv	ative S	ecurities Ac	quired,	Dis	posed c	of, o	or Ben	eficiall	y Owned						
1. Title of Security (Instr. 3) Date (Month/D				action Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Yea	Code (Instr.							es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
							Code	v	Amount		(A) or (D)	Price	Reported Transact (Instr. 3	ion(s)			(Instr. 4)		
Common Stock, No Par Value 07/19				9/2019	07/19/2019	М		10,00	0 A \$1		\$17.0	8 344	344,204		D				
Stock Options 07/19/				9/2019	07/19/2019	М		10,00	00 D \$17		\$17.0	B 10	10,000		D				
		-				curities Acq Is, warrants							Owned						
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Execution D urity or Exercise (Month/Day/Year) if any		Date,	Fransaction of E		Expiratio	e Exercisable and ation Date h/Day/Year)			7. Title and Ame of Securities Underlying Derivative Secu (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Derivative derivative Security Securities		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownershi (Instr. 4)			

Date Exercisable

(1)

(2)

(3)

(4)

(5)

(6)

(7)

(8)

Code v

Μ

07/19/2019

(A) (D)

10,000

Expiration Date

05/20/2025

11/18/2018

01/02/2019

05/18/2021

05/16/2022

05/15/2023

05/21/2024

05/17/2026

Title

Commor

Stock

Common Stock

Common

Stock

Common

Stock

Commor

Stock

Common

Stock

Common

Stock

Common

Stock

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.

2. These options vest in three equal annual installments beginning one year after the 11/18/2008 date of grant.

3. These options vest in three equal annual installments beginning one year after the 01/02/2009 date of grant.

4. These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.

5. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.

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7. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.

8. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

Remarks:

Option

(right to buy)

Option

(right to

(right to buy)

Option

(right to

(right to buy)

Option

(right to buy)

Option

(right to buy)

Option

(right to

buy)

buy) Option

buy) Option \$17.08

\$9.2915

\$9.2915

\$9.2915

\$11.1498

\$11.1498

\$13,2098

\$18.25

07/19/2019

Amount or Number

of Shares

10,000

0

0

0

0

0

0

0

\$17.08

0

0

0

0

0

0

0

0

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** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.