SEC Form 4
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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

I	OMB Number:	3235-0287
	OMB Number: Estimated average burd	len
l	hours per response:	0.5

11. Nature

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Addres	1 0	son*	2. Issuer Name and Ticker or Trading Symbol   RBB Bancorp [ RBB ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Yu Raymond	<u>H</u>			X	Director	10% Owner				
(Last) 1055 WILSHIRE SUITE 1200	(First) E BLVD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/19/2022		Officer (give title below)	Other (specify below)				
SUITE 1200			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applical						
(Street)				Line)	Form filed by One Reporting Person					
LOS ANGELES	CA	90017			Form filed by More tha Person	n One Reporting				
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock, No Par Value								255,572	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 3. Transaction 3A. Deemed 7. Title and Amount 5. Number 8. Price of 9. Number of 10. derivative Conversion Date Execution Date, Transaction of Expiration Date of Securities Derivative Ownership of Indirect

	tr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/Year)	8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		Underlying Derivative Security (Instr. 3 and 4)		(Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
Rest Stoc Unit		\$0.0000							(1)	(2)	Restricted Stock Units	1,050		1,050	D		

### Explanation of Responses:

1. These restricted stock units vest in three equal annual installments beginning one year after the 01/19/2022 date of grant.

2. There will be no expiration date once restricted stock units vest.

### Remarks:

1. Title of

Derivative

2.

Raymond Yu was granted 1,050 restricted stock units that will vest in three equal annual installments on 01/19/2023, 01/19/2024, and 01/19/2025.

/s/ Raymond Yu

01/24/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.