FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| vvasimigton, | D.O. 200-0 | |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|---------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average b | urden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See | STATEMENT OF CHANGES IN BENEFICIAL OW |
|---|--|
| Instruction 1(b). | Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 or Section 30(h) of the Investment Company Act of 1940 |

| Name and Address of Reporting Person* Liu Vincent | | | | | | 2. Issuer Name and Ticker or Trading Symbol RBB Bancorp [RBB] | | | | | | | | (Che | ck all applic Directo | cable) | ig Pers | son(s) to Iss 10% Ov Other (s | vner |
|--|---|--|------------------------|------|---|--|---|------|---|--------|--|---|-----------------------------------|----------------------------------|---|---|---|---|--|
| (Last) 1055 WI SUITE 1 | LSHIRE B | * | (Middle) | | 3. Date of Earliest Transaction (Month/Day/Year) 03/06/2020 | | | | | | | | | X Officer (give file below) EVP | | | | | |
| (Street) LOS AN (City) | GELES C. | tate) | 90017 (Zip) | | 03/ | 4. If Amendment, Date of Original Filed (Month/Day/Year) 03/09/2020 | | | | | | | | Line) X | | | | | |
| : | | | le I - Nor | | | _ | | | ' | Dis | 1 | | | | 1 | | | | |
| 1. Title of Security (Instr. 3) 2. Transplate (Month/II | | | | | Day/Year) if | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction Disposed Code (Instr. 5) | | rities Acquired (A) or ed Of (D) (Instr. 3, 4 a | | and Securiti Benefici Owned | | es Formalially (D) Following (I) | | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | Code | v | Amount | (A) o (D) | r Pri | Reporte Transac (Instr. 3 | | tion(s) | | | (Instr. 4) | |
| Common | Stock, No | Par Value | | 03/0 | 6/2020 | 03/06/2020 | | P | | 3,60 | 3,600 A S | | 15.6 | 71,163 | | | D | | |
| | | Т | able II - | | | | | | uired, D , option | | | | | | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | Execution Date, if any | | 4. Transaction Code (Instr. 8) | | of | | 5. Date Exercisable and Expiration Date Month/Day/Year) | | | 7. Title and Amou of Securities Underlying Derivative Securi (Instr. 3 and 4) | | ļ | 3. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisabl | | xpiration ate | Title | Amou or Numb of Share | er | | | | | |
| Option (right to buy) | \$11.1498 | | | | | | | | (1) | 0: | 1/01/2023 | Common Stock | 94,1 | 72 | | 94,172 | 2 | D | |

Explanation of Responses:

 $1.\ These\ options\ vest\ in\ three\ equal\ annual\ installments\ beginning\ one\ year\ after\ the\ 01/01/2013\ date\ of\ grant.$

Remarks:

Vincent Liu bought 3,600 shares on 3/6/2020. Amended to reflect total number of options.

<u>/s/ Vincent Liu</u> <u>04/13/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.