SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 1. Name and Address of Reporting Person* 11/09/2018					3. Issuer Name and Ticker or Trading Symbol RBB Bancorp [RBB]							
660 S. FIGUERO SUITE 1888 (Street) LOS ANGELES	First) DA STREET CA State)	(Middle) 90017 (Zip)				tionship of Reportin : all applicable) Director Officer (give title below) E	g Perso VP	n(s) to Issue 10% Owne Other (spe below)	r	(Mon 6. Ind	th/Day/Year) lividual or Joint cable Line) Form filed by	te of Original Filed /Group Filing (Check y One Reporting Person y More than One erson
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, No Par Value					0			D				
Stock Options						0		D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 4) 2. Date Exercisable a Expiration Date (Month/Day/Year)			ate	nd 3. Title and Amount of Securi Underlying Derivative Securi			ty (Instr. 4) Conv or Ex		ersion (ercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
Explanation of Resp			Date Exercisable	Expiratio Date	n Title			Amount or Number of Shares	Price of Derivati Security	ive	Direct (D) or Indirect (I) (Instr. 5)	

Remarks:

<u>Jacqueline Kay</u>

11/09/2018

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.