SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Huang Tsu Te	2. Date of Event Requiring Stater Month/Day/Yea 07/25/2017	nent 1	3. Issuer Name and Ticker or Trading Symbol RBB Bancorp [RBB]					
(Last) (First) (Middle) 660 S. FIGUEROA STREET			4. Relationship of Reporting Perso (Check all applicable) Director	10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
SUITE 1888			X Officer (give title below)	Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street)			EVP				y One Reporting Person	
LOS CA 90017 ANGELES						Form filed by Reporting P	y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5)		it (D) (II	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, No Par Value			215,251					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit	/ (Instr. 4) Conve or Exe		ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivativ Security	ve or Indirect		
Option (right to buy)	(1)	04/21/2019	Common Stock	21,525	9.291	5 D		
Option (right to buy)	(2)	01/01/2022	Common Stock	6,458	9.291	5 D		
Option (right to buy)	(3)	01/01/2023	Common Stock	10,763	11.149	98 D		

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 04/21/2009 date of grant.

2. These options vest in three equal annual installments beginning one year after the 01/01/2012 date of grant.

3. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.

Remarks:

<u>Tsu Te Huang</u>

07/25/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.