SEC Form 4	
------------	--

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	

OMB Number: 3235-0287 Estimated average burden 05 urs per re

Section obligat	this box if no lo n 16. Form 4 or ions may contir tion 1(b).		STAT		ed pursu	ant t	to Sec	tion 10	G(a) of the S ne Investme	ecuriti	es Exch	ange A	Act of 193		RSF	ΗP	Estim		verage burde sponse:	3235-0287 m 0.5		
1. Name and Address of Reporting Person <sup>*</sup> Thian Yee Phong						2. Issuer Name and Ticker or Trading Symbol <u>RBB Bancorp</u> [ RBB ]										k all applic Directo	able)	10% Owner				
(Last) (First) (Middle) 1055 WILSHIRE BLVD SUITE 1200						3. Date of Earliest Transaction (Month/Day/Year) 01/21/2021										X Onicer (give nue Outer (specify below) below) President/CEO						
(Street) LOS ANGELES CA 90017					4. If A	Lin											<ul> <li>B. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>					
(City)	(S		(Zip)																			
			ole I - Nor			_				Dis					-					7. Notone of		
1. Title of Security (Instr. 3) 2. Transa Date (Month/D					r) E	2A. Deemed Execution Dat if any (Month/Day/Ye		Code	action (Instr.	ction Dispos nstr. 5)		urities Acquired (A) sed Of (D) (Instr. 3,		ind	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		Form (D) or	wnership n: Direct r Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Postriotor	1 Stock Sha	roc								V	Amoui	nt	(D)	Price		(Instr. 3 and 4) 74,475 <sup>(10)</sup>		<b>`4</b> )				
	Stock, No									┢				<u> </u>		472			D			
Stock Op									$\vdash$				$\vdash$			4,695		D				
			Table II -	Deriva	tive S	ecu	uritie	s Ac	quired, I	Disp	osed c	of, or	Benef	icial	ly O	wned		I				
1. Title of Derivative	2. Conversion	3. Transaction 3A. Deem Date Execution		l 4 Date, T	ransacti	on	5. Number 6.		6. Date Exe Expiration	s, options, conve 5. Date Exercisable and Expiration Date			7. Title and Amoun			8. Price of Derivative	9. Numbe derivative	Э	10. Ownership			
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day	(Year) 8	ode (Ins)	tr.	Deriv Secu (A) or Dispo of (D) (Instr and 5	rities ired r osed ) : 3, 4	(Month/Da	/Day/Year)			Underlying Deriva Security (Instr. 3 a 4)			Security Instr. 5)	Securities Beneficia Owned Following Reported Transacti (Instr. 4)	icially d ving rted action(s)	Form: Direct (D) or Indirect (I) (Instr. 4			
				c	ode V		(A)	, (D)	Date Exercisabl		piration	Title	or N	mount umber	.							
Option (right to buy)	\$9.2915								(1)	05/	18/2021		nmon tock 10,7		3		10,7		D			
Option (right to buy)	\$11.1498								(2)	05/	16/2022		Common Stock 10,7		3		10,763		D			
Option (right to buy)	\$11.1498								(3)	01/	01/2023	Common Stock 242		242,156			242,156		D			
Option (right to buy)	\$11.1498								(4)	05/	15/2023	Common Stock 10,		0,76	,763		10,763		D			
Option (right to buy)	\$13.2098								(5)	05/	21/2024	Com Sto		0,25	0		10,25	0	D			
Option (right to buy)	\$17.08								(6)	05/	20/2025	Com Sto		0,00	0		10,00	0	D			
Option (right to buy)	\$18.25								(7)	05/	17/2026	Com Sto		0,00	0		10,00	0	D			
Restricted Stock Shares	\$0.0000								(8)		(9)	Restr Sto		4,47	5		14,47	5	D			
Restricted Stock Shares	\$0.0000								(10)		(9)	Restr Sto		50,00	0		60,00	0	D			

## Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.

2. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.

3. These options vest in three equal annual installments beginning one year after the 01/01/2013 date of grant.

4. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.

5. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.

6. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.

7. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

8. These restricted stock vest in three equal annual installments beginning one year after the 08/15/2018 date of grant.

9. There will be no expiration date once restricted stock shares vest.

10. These restricted stock vest in three equal annual installments beginning one year after the 01/21/2021 date of grant.

## Remarks:

Yee Phong Thian was granted 60,000 restricted stock shares that will vest in three equal annual installments on 01/21/2022, 01/21/2023, and 01/21/2024.

<u>/s/ Yee Phong Thian</u> \*\* Signature of Reporting Person

01/26/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.