SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	dress of Reporting Min Christop	2. Date of Event Requiring Statement (Month/Day/Year) 07/25/2017		3. Issuer Name and Ticker or Trading Symbol RBB Bancorp [RBB]						
(Last) (First) (Middle) 660 S. FIGUEROA STREET SUITE 1888				. ,		onship of Reporting Pers Il applicable) Director Officer (give title below)	on(s) to Issue 10% Owne Other (spe below)	r cify e	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check 	
(Street) LOS CA 90017 ANGELES				Delowy		Jelow)		Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				Nature of Indirect Beneficial Ownership str. 5)	
Common Stock, No Par Value						11,839	D			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securi Underlying Derivative Securit		ty (Instr. 4) Conve or Exe		cise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratio Date	n Title		Amount or Number of Shares	Price of Derivativ Security	Pe Direct (D) or Indirect (I) (Instr. 5)	
Option (right t	Option (right to buy)		(1)	01/02/201	9	Common Stock	10,763	9.291	5 D	
Option (right to buy)		(2)	05/18/202	1	Common Stock	10,763	9.291	5 D		
Option (right to buy)			(3)	05/16/202	2	Common Stock	10,763	11.149	8 D	
Option (right to buy)		(4)	05/15/202	3	Common Stock	10,763	11.149	8 D		
Option (right to buy)		(5)	05/21/202	4	Common Stock	10,250	13.209	8 D		
Option (right to buy)		(6)	05/20/202	5	Common Stock	10,000	17.08	D		
Option (right to buy)		(7)	05/17/202	6	Common Stock	10,000	18.25	D		

Explanation of Responses:

1. These options vest in three equal annual installments beginning one year after the 01/02/2009 date of grant.

2. These options vest in three equal annual installments beginning one year after the 05/18/2011 date of grant.

3. These options vest in three equal annual installments beginning one year after the 05/16/2012 date of grant.

4. These options vest in three equal annual installments beginning one year after the 05/15/2013 date of grant.

5. These options vest in three equal annual installments beginning one year after the 05/21/2014 date of grant.

6. These options vest in three equal annual installments beginning one year after the 05/20/2015 date of grant.

7. These options vest in three equal annual installments beginning one year after the 05/17/2016 date of grant.

Remarks:

Chie-Min Christopher Koo

** Signature of Reporting Person

07/25/2017 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.